

2153A 2  
PTO/SB/21 (08-03)

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

09/905,337

Filing Date

July 13, 2001

First Named Inventor

Shoji Kodama

Art Unit

2153

Examiner Name

G. B. Burgess

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NOV 20 2003  
Technology Center 2100

16869B-026500US

## ENCLOSURES (Check all that apply)

- Fee Transmittal Form
- Fee Attached
- Amendment/Reply
- After Final
- Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
- Response to Missing Parts under 37 CFR 1.52 or 1.53

- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s)

- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below):

USPTO form SB08A (one page), copies of 14 cited references, Return Postcard

Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual

Townsend and Townsend and Crew LLP

Horace H. Ng

Reg. No. 39,315

Signature

Date

11/14/03

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name

Julie Taylor Clough

Signature

Date

NOV. 14, 2003

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

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On NOV-14-2003

TOWNSEND and TOWNSEND and CREW LLP

By: Julie Taylor Clough

**PATENT**  
Attorney Docket No.: 16869B-026500US  
Client Reference No.: HAL-ID 183



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NOV 20 2003

Technology Center 2100

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Shoji Kodama

Application No.: 09/905,337

Filed: July 13, 2001

For: FILE SHARING SYSTEM WITH  
DATA MIRRORING BY STORAGE  
SYSTEMS

Examiner: G. B. Burgess

Art Unit: 2153

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

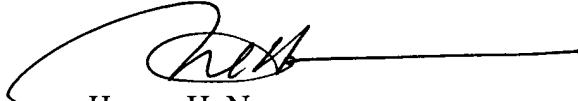
Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Horace H. Ng  
Reg. No. 39,315

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|--|---|---|---|-------------------|--|
| Substitute for form 1449A/PTO  |   | PATENT & TRADEMARK OFFICE                 |   | Complete if Known |  |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br><i>(use as many sheets as necessary)</i> |   | Application Number                        |   | 09/905,337        |  |
|  |   | Filing Date                               |   | July 13, 2001     |  |
|  |   | First Named Inventor                      |   | Shoji Kodama      |  |
|  |   | Art Unit                                  |   | 2153              |  |
|  |   | Examiner Name                             |   | G. B. Burgess     |  |
| Attorney Docket Number   |   | Technology Center 2100<br>16869B-026500US |   |                   |  |
| Sheet  | 1 | of  | 1 | RECEIVED          |  |

| FOREIGN PATENT DOCUMENTS |                       |                           |                     |                                |   |  |
|--------------------------|-----------------------|---------------------------|---------------------|--------------------------------|---|--|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document   |                     | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited<br>Document | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear |
|                          |                       | Country Code <sup>3</sup> | Number <sup>4</sup> |                                |   |  |
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|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>2</sup> Applicant's unique citation designation number (optional). <sup>3</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>4</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.